# Part 5 of 5: <br> A New MMP Method (Part 2) 

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This paper is the fifth part of a series of papers that can be downloaded here:
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## 1. Introduction

In the fourth part of this series of papers, we presented a simple method to incorporate proportional representation by the single transferable vote (STV) into a mixed member proportional representation (MMP) scheme.

An MMP scheme is an election method where each voter has two votes: a district vote and a party vote. Let's say that the standard size of the parliament is 100 seats. Then the electorate is divided into districts; a certain number of seats (say 60 seats) is allocated to the districts; with the district vote, the voters choose the district winners. The party vote is used to determine how many seats each party deserves when all 100 seats are allocated by proportional representation on the national level. When a party wins X district seats and deserves $\mathrm{Y}>\mathrm{X}$ seats according to its number of party votes, then this party gets, in addition to its district seats, $\mathrm{Y}-\mathrm{X}$ extra seats. When a party wins X district seats and deserves $\mathrm{Y}<\mathrm{X}$ seats according to its number of party votes, then this party keeps all these district seats, so that the total size of the parliament is increased by $\mathrm{X}-\mathrm{Y}$ (overhang seats).

For the sake of simplicity, we ignored, in the fourth part of this series of papers, the most serious strategic problem of MMP methods: Under MMP methods, it is a useful strategy for a party A to run two lists (A1 and A2) and to tell its supporters to give their district votes to the candidates of list A1 and their party votes to list A2. When its supporters vote as they are told, then list A1 receives significantly more district seats than it deserves seats according to its number of party votes. These extra seats for list A1 are extra seats for party A, because list A2 already receives as many seats as party A deserves according to the proportional share of its number of supporters.

Frequently, compensation seats are used to eliminate the above mentioned strategic problem of MMP methods. That means: When a party wins overhang seats, then also the other parties get additional seats, so that the total size of the parliament increases further. These additional seats are allocated to the parties in such a manner that, in the end, each party has a (according to the numbers of party votes) proportional share of the seats.

However, the problem of this solution is that it is quite impossible to predict the size of the parliament. Example:

In Albania, 140 of the 100 members of the Assembly are elected by first-past-the-post (FPP) in single-member districts (SMD). The other 40 seats are allocated to the parties on the national level as a part of an MMP scheme.

In the 2005 general elections, the voters were told to give their district votes to the candidates of the two large parties (Democratic Party resp. Socialist Party) and to give their party votes to their smaller allies. With this strategy, the Democratic Party won 56 district seats with only $7.67 \%$ of the party votes; the Socialist Party won 42 district seats with only $8.89 \%$ of the party votes.

If the maximum number of compensation seats was not limited, then the total size of the Assembly would have been around 730 seats ( $=56$ seats $\cdot 100 \% / 7.67 \%$ ).

Therefore, we introduce in this paper a new concept to eliminate the above mentioned strategic problem of MMP methods:

For each party $i$, we define a retain factor $z_{i} \in \mathbb{R}$ with $0 \leq z_{i} \leq 1$. Suppose $o_{i}$ is the number of voters who give their party vote to party $i$ and do not cast a valid district vote. Suppose $p_{i}$ is the number of voters who give their district vote to party $i$ and do not cast a valid party vote. Suppose $q_{i j}$ is the number of voters who give their district vote to party $i$ and their party vote to party $j$. Then

$$
\varepsilon_{i}:=o_{i}+z_{i} \cdot p_{i}+\sum_{j}\left(z_{i} \cdot q_{i j}+\left(1-z_{j}\right) \cdot q_{j i}\right)
$$

is the effective number of party votes for party $i$. In the beginning, $z_{i}:=0$ for all parties $i$. But when a party $i$ wins overhang seats, then we increase $z_{i}$ so that the effective number of party votes for party $i$ increases while, for every other party $j$, the effective number of party votes for party $j$ decreases. $z_{i}$ is increased until, when the seats are allocated to the effective numbers of party votes, party $i$ does not have any overhang seats anymore.

In other words: When a party $i$ wins overhang seats, then a share $0 \leq z_{i} \leq 1$ of the party votes of those voters, who give their district vote to party $i$ and their party vote to another party $j$, are counted as party votes for party $i$. This share is chosen in such a manner that the number of seats, party $i$ deserves according to its new number of party votes, just equals the number of district winners of party $i$.

Where concrete numbers are needed, we use the elections to the Berlin House of Representatives (Abgeordnetenhaus von Berlin) to illustrate the proposed method. Currently, the electoral law says that the House consists of at least 130 members. 78 members $(=60 \%)$ are elected by first-past-the-post (FPP) in single winner districts (single member plurality, SMP); at least additional 52 members are elected by closed party lists to compensate party proportionality. However, as FPP does not lead to proportional results, usually significantly more than 52 additional members are needed to compensate party proportionality, so that the House usually has a size of about 150 members. We recommend that, in future, 115 of the 130 members (about $90 \%$ ) should be elected by STV in districts of 8 to 22 seats. This is possible without creating too many additional members, because STV already leads to very proportional results.

## 2. The District Vote

### 2.1. The Districts

Berlin is currently divided into 12 boroughs.

|  | borough | eligible voters <br> (on 17 Sep. 2006) |
| ---: | :--- | ---: |
| 1 | Mitte | 190,550 |
| 2 | Friedrichshain-Kreuzberg | 165,331 |
| 3 | Pankow | 274,380 |
| 4 | Charlottenburg-Wilmersdorf | 216,374 |
| 5 | Spandau | 160,411 |
| 6 | Steglitz-Zehlendorf | 213,787 |
| 7 | Tempelhof-Schöneberg | 231,249 |
| 8 | Neukölln | 193,014 |
| 9 | Treptow-Köpenick | 193,936 |
| 10 | Marzahn-Hellersdorf | 201,209 |
| 11 | Lichtenberg | 201,096 |
| 12 | Reinickendorf | 184,143 |
|  | total: | $2,425,480$ |

Table 2.1.1: The 12 Berlin boroughs

We recommend that the districts for the elections to the Berlin House of Representatives should be the 12 Berlin boroughs. When the HillHuntington method is being used to allocate the 115 district seats to the 12 districts, then we get two 8 -seat districts (Friedrichshain-Kreuzberg, Spandau), five 9 -seat districts (Mitte, Neukölln, Treptow-Köpenick, Lichtenberg, Reinickendorf), three 10 -seat districts (CharlottenburgWilmersdorf, Steglitz-Zehlendorf, Marzahn-Hellersdorf), one 11-seat district (Tempelhof-Schöneberg), and one 13 -seat district (Pankow).

### 2.2. The District Ballot

Each voter gets two ballots: a district ballot and a party ballot. Both ballots are on the same sheet of paper. See page 6 .

The same candidate cannot run in more than one district. The same candidate cannot run simultaneously as an independent candidate and as a party candidate. The same candidate cannot run for more than one party simultaneously.

On the district ballot, the candidates are sorted according to their party affiliations. Candidates with the same party affiliation are sorted in an order determined by this party.

The individual voter ranks the candidates in order of preference. The individual voter may ...
... give the same preference to more than one candidate.
... keep candidates unranked. When a given voter does not rank all candidates, then this means (1) that this voter strictly prefers all ranked
candidates to all not ranked candidates and (2) that this voter is indifferent between all not ranked candidates.
... skip preferences. However, skipping some preferences does not have any impact on the result of the elections, since the result of the elections depends only on the order in which the individual voters ranks the candidates and not on the absolute preferences of the individual voters.
... give preferences to parties. When a given voter gives a preference to a party, then this means that each candidate of this party gets this preference unless this voter explicitly gives a different preference to this candidate.

### 2.3. The District Winners

In each district, a proportional ranking of all candidates is calculated. At first, we calculate only the first $M$ places of this proportional ranking, where $M$ is the number of seats of the respective district. For the 8 -seat district Friedrichshain-Kreuzberg, the first $M=8$ places of this proportional ranking could look as follows:

1. Mutlu (B‘90G, candidate 04.002) $\rightarrow$ elected (district vote)
2. Fischer (SPD, candidate 01.006) $\rightarrow$ elected (district vote)
3. Reinauer (Left, candidate 03.009) $\rightarrow$ elected (district vote)
4. Junge-Reyer (SPD, candidate 01.001 ) $\rightarrow$ elected (district vote)
5. Ratzmann (B‘90G, candidate 04.001) $\rightarrow$ elected (district vote)
6. İzgin (Left, candidate 03.005) $\rightarrow$ elected (district vote)
7. Klotz (B‘90G, candidate 04.003) $\rightarrow$ elected (district vote)
8. Samuray (CDU, candidate 02.004) $\rightarrow$ elected (district vote)

The idea is: If only the SPD supporters had participated, then this proportional ranking would have been Fischer, Junge-Reyer, etc.. If only the B‘90G supporters had participated, then this proportional ranking would have been Mutlu, Ratzmann, Klotz, etc.. If only the Left Party supporters had participated, then this proportional ranking would have been Reinauer, İzgin, etc.. If only the CDU supporters had participated, then this proportional ranking would have been Samuray, etc..

As Friedrichshain-Kreuzberg is an 8 -seat district, the first 8 candidates of this proportional ranking are elected.

| Elections to the Berlin House of Representatives on 17. September 2006 |  |
| :---: | :---: |
| District Ballot |  |
| for district Friedrichshain-Kreuzberg |  |
| please rank the candidates in order of preference | $\Pi$ |
| 01: Social Democratic Party of Germany (SPD) |  |
| 01.001: Junge-Reyer, Ingeborg |  |
| 01.002: Zackenfels, Stefan |  |
| 01.003: Kitschun, Susanne |  |
| 01.004: Eggert, Björn |  |
| 01.005: Bayram, Canan |  |
| 01.006: Fischer, Silke |  |
|  |  |
| 01.008: Miethke, Petra |  |
| 01.009: Kayhan, Sevgi |  |
| 01.010: Erdem, Hediye |  |
| 01.011: Klebba, Sigrid |  |
| 01.012: Postler, Lorenz |  |
| 01.013: Hehmke, Andy |  |
|  |  |
| 01.014: Dr. Beckers, Peter |  |
| 01.016: Borchard, Andreas |  |
| 02: Christian Democratic Union of Germany (CDU) |  |
| 02.001: Wansner, Kurt |  |
| 02.002: Bleiler, Rainer |  |
| 02.003: Ruhland, Thomas |  |
|  |  |
| 02.005: Stry, Ernst-Uwe |  |
| 02.006: Rösner, Helga |  |
| 02.007: Glatzel, Edgar |  |
| 02.009: Müller, Götz |  |
|  |  |
| 02.010: Freitag, Jens-Matthias |  |
| 02.011: Husein, Timur |  |
| 02.012: Wöhrn, Marina |  |
| 02.013: Taşkıran, Ertan |  |
|  |  |
| 02.015: Konschak, Benjamin |  |
|  |  |
| 03: Left Party |  |
| 03.001: Michels, Martina |  |
| 03.002: Wolf, Udo |  |
| 03.003: Matuschek, Jutta |  |
| 03.004: Zillich, Steffen 03.005: Izgin, Figen |  |
|  |  |
| 03.006: Günther, Andreas |  |
| 03.007: Vordenbäumen, Vera |  |
| 03.008: Krüger, Wolfgang |  |
| 03.009: Reinauer, Cornelia 03.010: Bauer, Kerstin |  |
|  |  |
| 03.011: Mildner-Spindler, Knut |  |
| 03.012: Richter, Claudia |  |
| 03.013: Schüssler, Lothar |  |
| 03.014: Thimm, Helga |  |
| 03.015: Pempel, Joachim |  |
|  |  |
| 04: Alliance '90 / The Greens (B‘90G) |  |
| 04.001: Ratzmann, Volker |  |
| 04.002: Mutlu, Özcan |  |
| 04.003: Dr. Klotz, Sibyll-Anka |  |
| 04.004: Lux, Benedikt |  |
| 04.005: Herrmann, Clara |  |
| 04.006: Stephan, André |  |
| 04.007: Pohner, Wolfgang |  |
| 04.008: Dr. Altug, Mehmet 04.009: Burkert-Eulitz, Marianne |  |
|  |  |
| 04.010: Kosche, Heidi |  |
| 04.011: Behrendt, Dirk |  |
| 04.012: Hauser-Jabs, Christine |  |
| 04.013: Schulz, Franz |  |
| 04.014: Kapek, Antje |  |
| 04.015: Wesener, Daniel |  |
| 04.016: Çetinkaya, Istikbal |  |
| 05: Free Democratic Party of Germany (FDP) |  |
| 05.001: Peters, Frank |  |
| 05.002: Dr. Hansen, Nikoline |  |
| 05.003: Eydner, John |  |
| 05.004: Hohl, Heinrich |  |
|  |  |
| 05.005: Salonek, Gumbert-Olaf |  |
| 05.007: Schaefer, Martina |  |
| 05.008: Wolf, Tobias |  |
| 05.009: Dr. Stolz, Peter |  |
| 05.010: Lauf, Sebastian |  |
| 05.011: Paun, Christopher |  |
| 05.012: Joecken, Ilka |  |
| 06: The Republicans (REP) |  |
| 06.001: Dr. Clemens, Björn |  |
| 06.002: Kuhn, Daniel |  |
| 06.003: Hinze, Harald Björn Gunnar |  |
| 06.004: Nestmann, Günther |  |
| 07: Ecological Democratic Party (ödp) |  |
| 07.001: Machel-Ebeling, Johannes |  |
| 08: Civil Rights Movement Solidarity (BüSo) |  |
| 08.001: Hinz, Björn |  |
| 09: Humane Economy Party |  |
| 09.001: Dr. Heinrichs, Johannes |  |
| 10.001: Eisner, Udo (independent) |  |
|  |  |



### 2.4. Allocation of the Voters to the District Winners

We want that a voter, who gave his district vote to a district winner of party $a$ and who gave his party vote to another party $b$, does not get a double representation. Therefore, we will propose, in section 3.2, that, when party $a$ wins more district seats that it deserves seats according to its number of party votes, then a share $z_{a} \in \mathbb{R}$ with $0 \leq z_{a} \leq 1$ of the party vote of each voter, who voted for a district winner of party $a$ and who gave his party vote to another party $b$, should be counted as a party vote for party $a$ and only $1-z_{a}$ of this party vote should be counted as a party vote for party $b . z_{a}$ is chosen in such a manner that the number of seats, party $a$ deserves according to its new number of party votes, just equals the number of district winners of party $a$.

However, when the district winners are chosen by an STV method, then it is not immediately clear which voter voted for which district winner. Therefore, at first, we have to calculate, for each district separately, an allocation of the voters to the district winners.

Suppose $A_{\text {elected }} \subseteq A$ is the set of district winners. Suppose $M$ is the number of district winners.

Suppose $V$ is the list of voters $i$ who satisfy at least one of the following two conditions:
(1) Voter $i$ casts a valid party vote.
(2) Voter $i$ is not indifferent between all the candidates of the set $A_{\text {elected }}$.

Those voters, who do not cast a valid party vote and are indifferent between all the candidates in $A_{\text {elected, }}$ will be ignored. Suppose $N$ is the number of voters in $V$.

Suppose $\lambda_{i j} \in \mathbb{R}$ is that share of voter $i \in V$ that is allocated to candidate $j \in A_{\text {elected }}$. Then $\lambda_{i j}$ must satisfy at least the following three conditions:

$$
\begin{array}{ll}
\forall i \in V \forall j \in A_{\text {elected }}: & \lambda_{i j} \geq 0 . \\
\forall i \in V: & \sum_{j=1}^{M} \lambda_{i j}=1 . \\
\forall j \in A_{\text {elected: }} & \sum_{i=1}^{N} \lambda_{i j}=N / M .
\end{array}
$$

Suppose $\forall i \in V \forall j \in A_{\text {elected }}: \eta_{i j} \in \mathbb{N}$ is the number of candidates $k \in A_{\text {elected }}$ with $k>_{i} j$. In other words: $\eta_{i j} \in \mathbb{N}$ is the number of candidates who are strictly preferred by voter $i$ to candidate $j$.

Then the first approach would be to calculate $\lambda_{i j}$ simply by minimizing $\sum_{i=1}^{N} \sum_{j=1}^{M}\left(\eta_{i j} \cdot \lambda_{i j}\right)$ subject to (2.4.1) -- (2.4.3). In other words: We calculate $\lambda_{i j}$ by minimizing the total number of votes that are transferred to a candidate $j$ although the voter $i$ strictly prefers another candidate $k$ to candidate $j$.

The problem of this approach is that it usually leads to a large number of possible solutions for $\lambda_{i j}$, so that the final result of the election is not unique. Therefore, we use a more elaborated approach that guarantees that $\lambda_{i j}$ is always unique. This approach says that $\lambda_{i j}$ should satisfy (2.4.1) -- (2.4.3) and the following two conditions.

## Condition \#1:

Suppose the voters are sorted in such a manner $\sigma$ that

$$
\sum_{j \in A_{\text {decected }}}\left(\eta_{\sigma(1), j} \cdot \lambda_{\sigma(1), j}\right) \geq \sum_{j \in A_{\text {decected }}}\left(\eta_{\sigma(2), j} \cdot \lambda_{\sigma(2), j}\right) \geq \sum_{j \in A_{\text {decected }}}\left(\eta_{\sigma(3), j} \cdot \lambda_{\sigma(3), j}\right) \geq \ldots
$$

Then this array should be as small as possible in the lexicographic sense.
That means:
Suppose also $\mu_{i j}$ satisfies (2.4.1) -- (2.4.3). Suppose the voters are sorted in such a manner $\tau$ that

$$
\sum_{j \in A_{\text {clected }}}\left(\eta_{\tau(1), j} \cdot \mu_{\tau(1), j}\right) \geq \sum_{j \in A_{\text {dcacted }}}\left(\eta_{\tau(2), j} \cdot \mu_{\tau(2), j}\right) \geq \sum_{j \in A_{\text {clectad }}}\left(\eta_{\tau(3), j} \cdot \mu_{\tau(3), j}\right) \geq \ldots
$$

Then there should be no $1 \leq k \leq N$ with

1. $\quad \sum_{j \in A_{\text {cocced }}}\left(\eta_{\sigma(k), j} \cdot \lambda_{\sigma(k), j}\right)>\sum_{j \in A_{\text {clectad }}}\left(\eta_{\tau(k), j} \cdot \mu_{\tau(k), j}\right)$ and
2. $\forall 1 \leq i<k: \sum_{j \in A_{\text {dececed }}}\left(\eta_{\sigma(i), j} \cdot \lambda_{\sigma(i), j}\right)=\sum_{j \in A_{\text {dececed }}}\left(\eta_{\tau(i), j} \cdot \mu_{\tau(i), j}\right)$.

## Condition \#2:

Suppose voter $i$ is indifferent between candidate $m$ and candidate $n$. Suppose voter $j$ is indifferent between candidate $m$ and candidate $n$. Then $\lambda_{i m}$ and $\lambda_{i n}$ should have the same ratio as $\lambda_{j m}$ and $\lambda_{j n}$. In other words:

$$
\forall i, j \in V \forall m, n \in A_{\text {elected }}:\left(\left(m \approx_{i} n \text { and } m \approx_{j} n\right) \Rightarrow\left(\lambda_{i m} \cdot \lambda_{j n}=\lambda_{j m} \cdot \lambda_{i n}\right)\right) .
$$

## 3. The Party Vote

### 3.1. The Party Ballot

On the party ballot of a given district, all those parties are listed that have nominated district candidates. The individual voter can vote for one and only one party. A party qualifies if and only if it has won at least one district seat or at least $5 \%$ of the valid party votes. Independent district winners are treated like parties with zero party votes.

### 3.2. Allocation of Seats to Parties

Suppose $D_{i}$ is the number of district seats won by party $i$.
Suppose $S_{i}$ is the number of seats that will have been allocated to party $i$ during the allocation process.

Suppose $p_{i}$ is the number of voters whose district votes are allocated to a district winner of party $i$ and who do not cast a valid party vote.

Suppose $q_{i j}$ is the number of voters whose district votes are allocated to a district winner of party $i$ and who give their party vote to party $j$.
[ $o_{i}=0$ for all parties $i$ because, as soon as a voter casts a valid party vote, his district vote is allocated to the district winners even if this voter is indifferent between all district winner.]
$z_{i} \in \mathbb{R}$ with $0 \leq z_{i} \leq 1$ is the retain factor of party $i$.

$$
\varepsilon_{i}:=z_{i} \cdot p_{i}+\sum_{j}\left(z_{i} \cdot q_{i j}+\left(1-z_{j}\right) \cdot q_{j i}\right)
$$

is the effective number of party votes for party $i$. In the beginning, $z_{i}:=0$ for all parties $i$.

We recommend that the rules to allocate the seats to the parties should have the following properties:

- The seats are allocated according to the Sainte-Laguë method with 0.75 as first divisor. That means: The effective numbers of party votes for each party are divided by $0.75,1.5,2.5,3.5,4.5,5.5, \ldots$ and the seats go to the largest quotients. [We presume for the rest of this paper that, when some quotients have the same value, then the seat goes to party $i$ with larger $D_{i}$.]
- When a given party $a$ wins more district seats than it deserves seats according to its effective number of party votes, then this party keeps all these district seats (overhang seats). To guarantee that the voters of the district candidates of party $a$ do not get a double representation, we increase continuously the retain factor $z_{a}$ of party $a$ so that the effective number of party votes for party $a$ increases, while the effective numbers of party votes for the other parties decrease. We increase $z_{a}$ until (1) $D_{a}$ is not larger anymore than the number of seats party
$a$ deserves according to its effective number of party votes or (2) $z_{a}=1$.

If $z_{a}=1$, then this means, that it is not possible to achieve party proportionality simply by increasing $z_{a}$ any further. Therefore, in this case, the other parties get additional seats to achieve party proportionality, so that the total size of the House increases (compensation seats). However, the total size of the House should not be larger than absolutely necessary to preserve party proportionality, because every additional seat compromises the idea that the House should be elected by proportional representation by the single transferable vote.

- However, to guarantee that the size of the House does not vary too much from one election to the other election, the minimum size is set to 131 members and the maximum size is set to 179 members. Therefore, we get $131 \leq \Sigma_{j} S_{j} \leq 179$.
- The constitution of Berlin says that a party has qualified if and only if it has received at least $5 \%$ of the valid party votes or has won at least one district seat. If a party has not qualified, then it must not get any seats (threshold clause).
- The total number of seats $\Sigma_{j} S_{j}$ should be odd (stalemate clause).

The Berlin House of Representatives should have at least 131 members. The seats should be allocated according to the Sainte-Laguë method with 0.75 as first divisor. Therefore, the numbers of effective party votes are divided by $0.75,1.5,2.5,3.5,4.5,5.5, \ldots$ and the seats go to the 131 largest quotients. If each party $i$ receives at least $D_{i}$ seats, then the allocation procedure terminates; otherwise, we proceed as follows:

## Step A (calculation of the retain factors $z_{i}$ ):

## Stage 1:

$r_{i}:=\varepsilon_{i} /\left(D_{i}-0.5\right)$ for each party $i$ with $D_{i}>1$.
$r_{i}:=\varepsilon_{i} / 0.75$ for each party $i$ with $D_{i}=1$.
$r_{i}:=\infty$ for each party $i$ with $D_{i}=0$.
We take party $a$ with minimum $r_{a}$.
We increase $z_{a}$ continuously. Simultaneously, we adjust $\varepsilon_{i}$ for all parties $i$ continuously. Simultaneously, we adjust continuously the 131 largest quotients that we get when we divide the effective numbers of party votes by $0.75,1.5,2.5,3.5,4.5,5.5$, etc..

When we increase $z_{a}$ continuously, then $\varepsilon_{a}$ increases continuously, while $\varepsilon_{i}$ decreases continuously for every other party $i$. Therefore, $r_{a}$ increases continuously, while $r_{i}$ decreases continuously for every other party $i$.
[If there is more than one party $a$ with minimum $r_{a}$, then we increase $z_{a}$ for all these parties simultaneously in such a manner that $r_{a}$ increases for all these parties equally.]

We increase $z_{a}$ continuously until at least one of the following three conditions is satisfied:
(3.2.1) When the seats go to the 131 largest quotients, then each party $i$ receives at least $D_{i}$ seats.
(3.2.2) $z_{i}=1$ for at least one party $i$.
(3.2.3) The set of parties with minimum $r_{i}$ has increased.

## Stage 2:

Repeat stage 1 until condition (3.2.1) or (3.2.2) is satisfied.
If condition (3.2.1) is satisfied, then the allocation procedure terminates and the seats go to the 131 largest quotients.

If condition (3.2.2) is satisfied and condition (3.2.1) is not satisfied, then this means, that the fact, that party $a$ won more district seats than it deserves seats according to its number of party votes, cannot be caused exclusively by the above mentioned strategic problem of MMP methods. In this case, it is necessary to increase the number of compensation seats to preserve party proportionality. Therefore, we proceed as follows:

## Step B (allocation of seats to parties):

## Stage 1:

For each party $i$, we start with $s_{i}:=D_{i}$.

## Stage 2:

$r_{i}:=\varepsilon_{i} /\left(D_{i}-0.5\right)$ for each party $i$ with $D_{i}>1$.
$r_{i}:=\varepsilon_{i} / 0.75$ for each party $i$ with $D_{i}=1$.
$r_{i}:=\infty$ for each party $i$ with $D_{i}=0$.
$Y:=\min _{i} r_{i}$.
[The idea is: As soon as the next quotient $T$, that will be rewarded with a seat, is equal to or strictly smaller than $Y$, party proportionality has been achieved, so that the allocation procedure can stop. Adding more seats would not improve proportionality according to party affiliations any further, but would worsen proportionality according to whichever other criteria the voters considered important when choosing the district winners by STV.]

## Stage 3:

Repeat ( until at least one of the termination conditions is satisfied ):

$$
\begin{aligned}
& t_{i}:=\varepsilon_{i} /\left(s_{i}+0.5\right) \text { for each party } i \text { with } s_{i}>0 . \\
& t_{i}:=\varepsilon_{i} / 0.75 \text { for each party } i \text { with } s_{i}=0 . \\
& T:=\max _{i} t_{i} . \\
& U:=\max _{i}\left\{D_{i} \mid t_{i}=T\right\} .
\end{aligned}
$$

If at least one of the following two conditions is satisfied, then the allocation procedure terminates.

Condition \#1:
The following three statements are satisfied:
(a) $\Sigma_{j} s_{j} \geq 131$.
(b) $\Sigma_{j} s_{j}$ is odd.
(c) $T \leq Y$.

Condition \#2:

$$
\Sigma_{j} s_{j}=179 .
$$

If none of these two conditions is satisfied, then we proceed as follows:

The next seat goes to party $i$ with $t_{i}=T$ and $D_{i}=$ $U$. If there is more than one party with $t_{i}=T$ and $D_{i}=U$, then we decide randomly which party with $t_{i}=T$ and $D_{i}=U$ gets the next seat.

### 3.3. Allocation of the Party Seats to this Party's District Organizations

As candidates run on the district level and not on a city-wide level, we now have to allocate the seats of a party to this party's district organizations. We recommend that this allocation procedure should have the following properties:

- The seats are allocated according to the Sainte-Laguë method with 0.75 as first divisor.
- Each district organization must get at least as many seats as it has won district seats.

Therefore, we propose the following method to allocate the seats of party $i$ to this party's district organizations:

Suppose $D_{i}^{j}$ is the number of district seats won by party $i$ in district $j$.
Suppose $\varepsilon_{i}^{j}$ is the effective number of party votes for party $i$ in district $j$.
Suppose $S_{i}$ is the number of seats that have been allocated to party $i$ as described in section 3.2.
$s_{i}^{j}$ is the number of seats already allocated to the district organization of party $i$ in district $j$.

Stage 1:
For each $j$, we start with $s_{i}^{j}:=D_{i}^{j}$.
Stage 2:
Repeat (until $\Sigma_{j} s_{i}^{j}=S_{i}$ ):
$t_{i}^{j}:=\varepsilon_{i}^{j} /\left(s_{i}^{j}+0.5\right)$ for each district organization $j$ of party $i$ with $s_{i}^{j}>0$.
$t_{i}^{j}:=\varepsilon_{i}^{j} / 0.75$ for each district organization $j$ of party $i$ with $s_{i}^{j}=0$.
$T:=\max _{j} t_{i}^{j}$.
$U:=\max _{j}\left\{D_{i}^{j} \mid t_{i}^{j}=T\right\}$.
The next seat goes to district organization $j$ with $t_{i}^{j}=T$ and $D_{i}^{j}=U$. If there is more than one district organization with $t_{i}^{j}=T$ and $D_{i}^{j}=U$, then we decide randomly which district organization with $t_{i}^{j}=T$ and $D_{i}^{j}=U$ gets the next seat.

### 3.4. The Party Vote Winners

Now we calculate the remaining places of the proportional rankings of the districts. In each district $j$, we ignore all the candidates of parties $i$ with $S_{i}^{j}=0$.

Example: $S_{\mathrm{SPD}}^{\mathrm{FK}}=3, S_{\mathrm{Left}}^{\mathrm{FK}}=3, S_{\mathrm{B}^{9} 90 \mathrm{G}}^{\mathrm{FK}}=5, S_{\mathrm{CDU}}^{\mathrm{FK}}=1$. Then we ignore all the candidates of the FDP, the REP, the ödp, the BüSo, and the Humane Economy Party and the two independent candidates (Eisner, Stiewe), when we calculate the remaining places of the proportional ranking of the district Friedrichshain-Kreuzberg.

If $S_{i}^{j}>D_{i}^{j}$, then (in addition to those candidates who have already been elected by the district votes ) those $S_{i}^{j}-D_{i}^{j}$ candidates of this party are elected who are ranked highest in the proportional ranking of this district.
$D_{\mathrm{SPD}}^{\mathrm{FK}}=2$ and $S_{\mathrm{SPD}}^{\mathrm{FK}}=3$; therefore one $\left(=S_{\mathrm{SPD}}^{\mathrm{FK}}-D_{\mathrm{SPD}}^{\mathrm{FK}}\right)$ additional SPD candidate must be elected in the district Friedrichshain-Kreuzberg; this additional candidate is Eggert, because he is the highest ranked SPD candidate in the proportional ranking of this district who has not yet been elected. $D_{\text {Left }}^{\mathrm{FK}}=2$ and $S_{\text {Left }}^{\mathrm{FK}}=3$; therefore one $\left(=S_{\text {Left }}^{\mathrm{FK}}-D_{\text {Left }}^{\mathrm{FK}}\right)$ additional Left candidate must be elected in the district Friedrichshain-Kreuzberg; this additional candidate is Wolf, because he is the highest ranked Left candidate in the proportional ranking of this district who has not yet been elected. $D_{\mathrm{B}^{\prime} 90 \mathrm{G}}^{\mathrm{FK}}=3$ and $S_{\mathrm{B}^{\prime} 90 \mathrm{G}}^{\mathrm{FK}}=5$; therefore two $\left(=S_{\mathrm{B}^{\prime} 90 \mathrm{G}}^{\mathrm{FK}}-D_{\mathrm{B}^{\prime} 90 \mathrm{G}}^{\mathrm{FK}}\right)$ additional B‘ 90 G candidates must be elected in the district Friedrichshain-Kreuzberg; these additional candidates are Behrendt and Altug, because they are the highest ranked $\mathrm{B}^{‘} 90 \mathrm{G}$ candidates in the proportional ranking of this district who have not yet been elected.

Therefore, we get:

1. Mutlu (B‘90G, candidate 04.002) $\rightarrow$ elected (district vote)
2. Fischer (SPD, candidate 01.006) $\rightarrow$ elected (district vote)
3. Reinauer (Left, candidate 03.009) $\rightarrow$ elected (district vote)
4. Junge-Reyer (SPD, candidate 01.001 ) $\rightarrow$ elected (district vote)
5. Ratzmann (B‘90G, candidate 04.001 ) $\rightarrow$ elected (district vote)
6. İzgin (Left, candidate 03.005) $\rightarrow$ elected (district vote)
7. Klotz (B‘90G, candidate 04.003) $\rightarrow$ elected (district vote)
8. Samuray (CDU, candidate 02.004) $\rightarrow$ elected (district vote)
9. Eggert (SPD, candidate 01.004) $\rightarrow$ elected (party vote)
10. Heinemann (SPD, candidate 01.007)
11. Behrendt (B‘90G, candidate 04.011 ) $\rightarrow$ elected (party vote)
12. Wolf (Left, candidate 03.002) $\rightarrow$ elected (party vote)
13. Altug (B‘90G, candidate 04.008) $\rightarrow$ elected (party vote)
14. Bayram (SPD, candidate 01.005)
15. Kosche (B‘90G, candidate 04.010)
16. Michels (Left, candidate 03.001)
17. Bleiler (CDU, candidate 02.002)
18. Herrmann (B‘90G, candidate 04.005)
19. Zackenfels (SPD, candidate 01.002)
20. Vordenbäumen (Left, candidate 03.007)
21. etc.

## 4. Vacant Seats

When a seat gets vacant, then this seat goes to that candidate of this party who is ranked highest in the proportional ranking of this district. If the list of candidates of this party is exhausted or if this seat was the seat of an independent candidate, then this seat stays vacant.

Example: The seat of Klotz gets vacant. Then this seat goes to Kosche.
Therefore we get:

1. Mutlu (B‘90G, candidate 04.002$) \rightarrow$ elected (district vote)
2. Fischer (SPD, candidate 01.006) $\rightarrow$ elected (district vote)
3. Reinauer (Left, candidate 03.009) $\rightarrow$ elected (district vote)
4. Junge-Reyer (SPD, candidate 01.001) $\rightarrow$ elected (district vote)
5. Ratzmann (B‘90G, candidate 04.001$) \rightarrow$ elected (district vote)
6. İzgin (Left, candidate 03.005) $\rightarrow$ elected (district vote)
$7 . \mathrm{Klozz}\left(\mathrm{B}^{9} 0 \mathrm{G}, \mathrm{didat} 04.003\right) \longrightarrow$ (distio $)$
7. Samuray (CDU, candidate 02.004) $\rightarrow$ elected (district vote)
8. Eggert (SPD, candidate 01.004) $\rightarrow$ elected (party vote)
9. Heinemann (SPD, candidate 01.007)
10. Behrendt (B‘90G, candidate 04.011 ) $\rightarrow$ elected (party vote)
11. Wolf (Left, candidate 03.002) $\rightarrow$ elected (party vote)
12. Altug (B‘90G, candidate 04.008$) \rightarrow$ elected (party vote)
13. Bayram (SPD, candidate 01.005)
14. Kosche (B‘90G, candidate 04.010) $\rightarrow$ elected (successor of Klotz)
15. Michels (Left, candidate 03.001)
16. Bleiler (CDU, candidate 02.002)
17. Herrmann (B‘90G, candidate 04.005)
18. Zackenfels (SPD, candidate 01.002)
19. Vordenbäumen (Left, candidate 03.007)
20. etc.
